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3 **Article title:**

4 An evaluation of the association between anthropometric measurements and cardiorespiratory
5 fitness using the Forest Service Step and the Ruffier-Dickson test.

6 **Autor names and affiliations:**

7 Iván González-García^{a*} and HD McCarthy^b

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9 ^a*Faculty of Health Sciences, Universidad Isabel I, www.uil.es, Spain.*

10 ^b*School of Human Sciences, London Metropolitan University, London N7 8DB, UK*

11 I. González-García: ivan.gonzalez.garcia@uil.es

12 HD McCarthy: d.mccarthy@londonmet.ac.uk

13 *** Corresponding author:** Iván González-García: Ph.D.

14 Faculty of Health Sciences, Universidad Isabel I, Fernán González, 76, 09003, Burgos,
15 Spain.

16 TEL: +0034947671731

17 *E-mail addresses:* ivan.gonzalez.garcia@uil.es

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25 fitness using the Forest Service Step and the Ruffier-Dickson test.

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48 **Abstract:**

49 *Background/Objective:* Cardiorespiratory fitness (CRF) is an important component of general
50 fitness and is quantified in terms of maximum oxygen consumption (VO₂max). The aims of this
51 study were (1) to determine the association between anthropometric measurements and
52 VO₂max using the Forest Service Step (FSS) test and the Ruffier-Dickson test and (2) to
53 develop a model to assess the VO₂max from the FSS test.

54 *Methods:* A cross-sectional correlation study in which the participants performed both the FSS
55 and the Ruffier-Dickson test was conducted using data previously collected. The sample was
56 composed of 67 healthy participants aged between 19 and 39 years.

57 *Results:* The VO₂max values for these participants ranged between 35.15 and 67.32 ml·min⁻¹
58 ·kg⁻¹. Linear regression analysis showed a correlation (p<0.01) between measured VO₂max
59 (L·min⁻¹) and height (m), weight (kg), waist (cm) and BMI ((kg/m²). The multiple linear
60 regression analysis generated the following equation to predict VO₂max (L·min⁻¹) from the
61 FSS Test: 3.937 + 0.045(Weight) – 0.103(HR Post FSS) – 0.406(Sex). The adjusted R² for the
62 model was 0.845 with an excellent agreement between the model and the classification of CRF
63 according to the American Heart Association (ICC=0.958).

64 *Conclusion:* The FSS test can be used to estimate the CRF reliably and economically.

65 *Keywords:* Cardiorespiratory fitness, Step test, Anthropometric measurements, Maximal
66 oxygen consumption

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68 **Introduction**

69 Cardiorespiratory fitness (CRF) is an important component of fitness because it concerns the
70 pulmonary system for the consumption of oxygen, the cardiovascular system for the transport
71 of oxygen and waste products, and the muscular system for the utilization of oxygen¹. CRF is
72 quantified in terms of maximum oxygen consumption (VO₂max) as the cardiovascular system
73 is responsible for the supply of oxygen to active muscles². VO₂max is considered an indicator
74 that reflects a person's capacity to maintain aerobic exercise and physiological adaptation
75 indicator for different types of training³. The importance of VO₂max as a clinical vital sign and
76 predictor of cardiovascular disease has been highlighted by the American Heart Association⁴.

77 Maximal oxygen consumption can be measured directly by open-circuit spirometry in the
78 laboratory, but this measurement requires specific technology. Measuring VO_2max by an
79 incremental exercise test can be time-consuming and difficult to administer in large population
80 groups ⁵. Consequently, a number of testing methods have been devised to predict VO_2max
81 indirectly from maximal or submaximal tests which can be conducted in non-laboratory
82 environments. Step test are predictors of VO_2max specific to ascending and descending steps
83 at a predetermined cadence ^{4,6-10}, and the 3-minute squat test has been validated to estimate
84 CRF in healthy individuals ¹¹⁻¹⁵.

85 VO_2max is quantified in absolute ($\text{L}\cdot\text{min}^{-1}$) and relative ($\text{ml}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$) terms. The units of
86 litres per minute ($\text{L}\cdot\text{min}^{-1}$) represent the absolute amount or total oxygen consumed in the
87 organism per minute. The absolute VO_2max is generally used to calculate the total amount of
88 aerobic energy or calories the body can generate. The units of millilitres of oxygen per kilogram
89 per minute ($\text{ml}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$) represent the oxygen consumption required to move one kilogram
90 of body weight per minute ¹⁶. Most of the time VO_2max is expressed in relative units because
91 a person's functional capacity depends on the displacement of their own body weight ¹⁷.

92 VO_2max is considered a strong predictor of health, and provides measures of cardiorespiratory
93 fitness and cardiovascular health and function ^{7,14,18,19}. The VO_2max is affected by genetic
94 factors, physical training, gender, age, and body composition ^{20,21}. The appropriate percentages
95 of these components are related to health, especially the percentage of fat and muscle, which
96 are the tissues most sensitive to changes resulting from physical activity and diet ⁹. An increase
97 in body fat levels leads to a decrease in VO_2max in relative terms ²²⁻²⁴. Additionally,
98 anthropometric variables such as weight, height, Waist-Hip Ratio (WHR) and Body Mass Index
99 (BMI) can become predictors of cardiovascular disease risk ²⁵⁻²⁹. The values of BMI suggest a
100 possible effect on cardiorespiratory function ³⁰, and the WHR is one of several methods used
101 to evaluate overweight and obesity, as well as to estimate the risks of cardiovascular disorders
102 ³¹. Similarly, the anthropometry of a person is related to cardiorespiratory function such as
103 VO_2max , relative VO_2max , relative VO_2 at the ventilatory threshold level and heart rate ^{32,33}.

104 Therefore, the studies suggest there is a relationship between anthropometric variables and
105 maximal oxygen consumption. This suggests it would be important to know which and to what
106 extent are the exact variables that have more influence on oxygen consumption in a young

107 population. Using two simple tests a predictive model can be carried out to obtain the VO₂max,
108 employing the combination of easily measurable variables.

109 The objectives of this study are (1) to determine the association between anthropometric
110 measurements and VO₂max using the Forest Service Step (FSS) test and the Ruffier-Dickson
111 test and (2) to develop a model to assess the VO₂ max from the FSS test.

112

113 **Methods**

114 *Study participants*

115 A cross-sectional correlation study was conducted using data previously collected. The sample
116 is composed of 67 healthy participants with a mean age of 22.8 ± 3.42 , comprising 54 men and
117 13 women. Prior to any testing, participants carried out Rapid Assessment of Physical Activity
118 (RAPA) questionnaire to measure the level of physical activity³⁴. The results showed 6 (9%)
119 participants were regular underactive (light activities), 13 (19,4%) were regular underactive,
120 and 48 (71,6%) regular active. None of the participants were taking any medications and none
121 of them had consumed alcohol or caffeine 24 hours before the test. The clinical measurements
122 were conducted following signed informed consent, in accordance with Regulation (EU)
123 2016/679 of the European Parliament and of the Council of 27 April 2016 on General Data
124 Protection Regulation (GDPR). All the procedures used in this study followed the ethical
125 principles for medical research involving human volunteers as stated in the Helsinki
126 Declaration. The study was approved by the ethical committee of the Universidad Isabel I (UII-
127 PI040).

128 *Anthropometric measurements*

129 Height and weight were taken using a scale with an integrated stadiometer (Tanita, WB-3000,
130 Japan) with sensitivities of 0.1 cm and 0.1 kg respectively. Participants were measured without
131 shoes, wearing light clothes at the same time of day and in optimal temperature conditions. BMI
132 was calculated and individuals classified using the standard World Health Organization's
133 indicators for adults³⁵. Waist and hip circumferences were measured in triplicate, with the mean
134 being obtained with an inextensible tape measure with an accuracy of 0.1 cm. Waist
135 circumference was measured over bare skin at the level of the umbilicus. Hip circumference

136 was measured at the level of the maximum extension of the buttocks posteriorly in a horizontal
137 plane. Waist-Hip Ratio (WHR) was calculated.

138 *VO₂max assessment*

139
140 Two tests were performed - the Forest Service Step (FSS) test, which evaluates relative aerobic
141 capacity, and the Ruffier-Dickson (RD) test, which measures the cardiovascular adaptation to
142 stress.

143 The FSS test was adjusted for sex, heart rate (HR), body weight and age to predict aerobic
144 capacity³⁶. The test consisted of walking up and down a step of 38 cm high for men and 33 cm
145 high for women for 5 minutes. The rhythm was paced by a metronome at a rate of 90 beats per
146 minute (22.5 cycles up and down the bench per minute). HR was measured for 15 seconds
147 between the second 15 and 30 after the test was completed. Adjusted estimates of VO₂ max
148 (ml·min⁻¹·kg⁻¹) and the age correction factors proposed by Sharkey³⁶ were used to perform
149 the calculation of aerobic capacity. HR values obtained after the FSS test were adjusted for the
150 weight of the participant. The VO₂ max values were adjusted according to age and sex. This
151 protocol has been previously carried out by other researches^{6,37-41}. Hansen et al.⁸, validated
152 the test to predict VO₂ max by comparing the results with a maximal ergospirometry test, with
153 an assessment of cardiopulmonary parameters.

154 Ruffier's test was used to measure short-term endurance and cardiac recovery capacity⁴².
155 Resting HR was collected before starting the test (P1). The standing participant then performed
156 30 squats in 45 seconds, paced by a metronome. The back was straight, bending the knees to a
157 90-degree angle, with the arms extended straight forward. HR was recorded immediately after
158 the squats (P2). A one-minute break was taken from sitting and HR was recorded again (P3).
159 From the recording of the three HR measurements, the Ruffier Index (RI) and the Ruffier-
160 Dickson Index (RDI) were respectively calculated as:

$$161 \quad RI = \frac{P1 + P2 + P3 - 200}{10} \qquad RDI = \frac{(P2 - 70) + 2 \times (P3 - P1)}{10}$$

162 The RDI test performs a greater adjustment of the values and is aimed at assessment in the
163 younger population. The Ruffier test has been used by several researchers^{15,43-51} and has
164 demonstrated a good test-retest reliability with intra-class coefficients of the HR features and
165 RDI ranged from 0.66-0.86¹⁴.

166 *Statistical analysis*

167 All statistical analyses were performed using SPSS version 24.0 (SPSS Inc., Chicago, IL, USA).
168 Basic descriptive statistics (mean, standard deviation and min-max) were calculated. The
169 relationship between anthropometric measures and VO₂max was examined using Spearman's
170 correlation analysis. A linear regression analysis was carried out to explain the relationship
171 between anthropometric variables and VO₂max. Finally, a multiple linear regression analysis
172 was performed to obtain a model to predict the VO₂max. The prediction model was built using
173 stepwise forward multiple linear regressions. The models' stability and performance were
174 evaluated using adjusted R² and Kappa. A p value of <0.05 or <0.01 was considered to indicate
175 the statistical significance on a case-by-case basis.

176 **Results**

177 A summary of the descriptive characteristics of the participants is shown in Table 1. The mean
178 BMI of the participants was 23.2 (SD = 2.71), with a range of values from 18.5 to 31.1. Based
179 upon the BMI classification, 53 participants were of normal weight, 13 overweight, and 1 obese.
180 The mean values of VO₂max according to the FSS test were 3.55 (SD = 0.77), with a range of
181 1.38 to 5.21 L·min⁻¹. The mean values of VO₂max according to the RDI test were 4.25 (SD =
182 0.48), with a range of 3.05 to 5.09 L·min⁻¹. There was a significant (positive) correlation
183 (p<0.01) between FSS results and BMI (kg/m²) and waist (cm). Hip (cm) values were
184 negatively correlated (p<0.05) with RDI results. FSS and RDI results were positively correlated
185 (p<0.01) with weight (kg), height (m), and WHR (cm). The VO₂max values for these
186 participants ranged between 35.15 and 67.32 ml·min⁻¹·kg⁻¹.

187 Please insert Table 1 near here

188 Figure 1 show the aerobic fitness rating from the predicted VO_{2max} values adjusted for age
189 according to the FSS. The waist values are displayed according to weight status. Within the
190 normal BMI category, 32.8% of participants were classified in a good condition and 37.3%
191 were in an excellent condition according to the aerobic fitness rating. Subjects classified as
192 overweight were found to have a higher waist compared with those classified as normal weight.

193

194 Please insert Figure 1 near here

195

196 Figure 2 show the classification of the values obtained from VO_{2max} of RDI. The results were
197 very similar to those obtained for HSS. Within the normal BMI category, 34.3% were in a good
198 condition and 23.9% were in a very good condition according to the RDI ranking.

199

200 Please insert Figure 2 near here

201

202 Figure 3 show regression statistics between measured VO_{2max} ($L \cdot min^{-1}$) and height (m),
203 weight (kg), waist (cm) and BMI (kg/m^2). Regression statistics between the VO_{2max} and
204 height resulted in R^2 values of 0.27 (SE = 3.28), between VO_{2max} and weight the value was
205 0.40 (SE = 0.041), between VO_{2max} and waist was 0.22 (SE = 0.009), and between VO_{2max}
206 and BMI was 0.14 (SE = 0.13).

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Please insert Figure 3 near here

210 The results for the linear multiple regression model for predicting absolute VO₂max are shown
211 in Table 2. The model was based on the FSS. Height, waist and BMI were not significant for
212 the model. The adjusted R² for the 3 models were 0.394, 0.816, and 0.845 respectively,
213 indicating that Model 3 explained the most variability in the VO₂max values. There was an
214 excellent agreement between model 3 and the classification of CRF according to the American
215 Heart Association (ICC=0.958). The final model derived from this analysis was:

$$216 \quad \text{VO}_2\text{max} = 3.937 + 0.045 \times (\text{Weight}) - 0.103 \times (\text{HR Post FSS}) - 0.406 \times (\text{Sex})$$

217

218 in which weight is expressed in kilograms (kg), the HR Post FSS in beats per minute, and sex
219 is coded as 1 for men and 2 for women.

220

221

Please insert Table 2 near here

222 Discussion

223 In this study, we showed that VO₂max can be related to anthropometric variables, including
224 weight (R²=0.40), height (R²=0.27), waist (R²=0.22) and BMI (R²=0.14). The model was based
225 on the FSS test. Height, waist and BMI were not significant predictors of VO₂max in our
226 analysis. The model used as predictor variables weight, HR Post FSS test and sex, with an
227 adjusted R² of 0.845. Our model can be used as an alternative to assess VO₂max as well as for
228 comparing it with the other tests carried out.

229
230 Several studies have carried out a step-test protocol to predict VO₂max using predictive
231 equations ^{7,15,50,52}. Some studies included sex as predictor variables in their model ¹², age ⁵³,
232 body mass ¹⁰, and almost all studies included HR in bpm ⁵⁴⁻⁵⁷ and the recovery HR ^{41,58-61}.

233
234 The results demonstrated weight as the main predictor variable of VO₂max. The study by
235 Knight et al. ⁵³, conducted with older adults, the variables used for the prediction of maximal
236 oxygen uptake included the time to complete the stepping tests, weight, HR, age and sex. It has
237 been suggested that weight may affect the accuracy of the prediction of CRF ¹⁰. Hansen et al. ⁸
238 included as variables in the equation age, sex, height, BMI, step test HRmax and fitness index.
239 For these authors, a single-stage fixed-rate step test is a valid instrument to estimate VO₂max
240 in healthy adults. HR post FSS was a predictor variable included in the model: VO₂max = (0.054
241 × BMI) + (0.612 × sex) + (3.359 × Height) + (0.019 × fitness index) – (0.012 × HRmax) –
242 (0.011 × age) – 3.475. Furthermore, Ibrahim & Nuhu ³⁹ also develop a step test with a regression
243 model for estimating maximal oxygen consumption (VO₂max). Data from the step test were
244 analysed using multiple linear regressions to develop the regression equation: VO₂max =
245 62.887 - (0.439 × age) + (0.152 × BMI) – (0.116 × HR post exercise). McArdle et al. ⁶² first
246 demonstrated the validity of the Queen's College step test to predict VO₂max. After completion
247 of the step test, HR recovery was measured for 15s, from 5-20s post-test. This post-exercise
248 HR was converted to beats/min and used to predict VO₂max using the following equation:
249 VO₂max (mL kg⁻¹ min⁻¹) = 65.81 - (0.1847 × HR in beats/min). Santo & Golding ⁴¹, using
250 the YMCA 3-minute Step Test to predicted VO₂max. The correlation coefficient was calculated
251 between measured VO₂max and the 15s post-test HR. They also obtained a negative
252 relationship between VO₂max measurements and HR Post FSS. Linear regression was used to
253 develop the following prediction equations for VO₂max from these data: VO₂max = (-0.9675 ×

254 post-test HR 15 s) + 77.643. Others studies also include HR post-test: $VO_{2max} = 65.81 -$
255 $(0.1847 \times \text{HR in beats/min})^{63}$, $VO_{2max} = 71.97 - (0.776 \times \text{HR in beats/min})^{54}$.

256

257 According to our results, using the Forest Service test, VO_{2max} was 3.55 ± 0.77 ($L \cdot \text{min}^{-1}$). The
258 results using the linear regression equation were similar: $VO_{2max} = 3.54 \pm 0.71$ ($L \cdot \text{min}^{-1}$). The
259 measured VO_{2max} was 3.76 ± 0.58 ($L \cdot \text{min}^{-1}$) in men, and 2.65 ± 0.42 ($L \cdot \text{min}^{-1}$) in women.
260 Likewise, a high agreement between measured and predicted VO_{2max} was confirmed by the
261 high intraclass correlations (ICC=0.958).⁸, in their predictive formula obtained a measure of
262 VO_{2max} 3.2 ± 0.6 ($L \cdot \text{min}^{-1}$) in men and 1.9 ± 0.4 ($L \cdot \text{min}^{-1}$) in women. Prediction equations
263 for individuals of different gender (males and females) and ages (between 18 and 75 years)
264 were of equal or lower prediction accuracy. The results in¹² were similar, with 3.38 ± 0.61
265 ($L \cdot \text{min}^{-1}$) in men and 2.13 ± 0.57 ($L \cdot \text{min}^{-1}$) in women.

266

267 One of the limitations of the study was the relatively small sample size ($n = 67$). In addition,
268 the participants were healthy young people and it was not possible to compare them with
269 another control group. Our VO_{2max} estimation equation should be validated independently
270 using a different population in future research. According to Hansen et al.⁸ studies with a small
271 sample size (< 100 participants) may be lacking for the estimation of VO_{2max} . Nevertheless,
272 many studies have used a smaller sample than this study^{12,39,53,63}. Another future question could
273 be to check possible differences according to sex, as well as the interaction between sex and
274 BMI. It could be confirmed if individuals with a higher BMI have a lower VO_{2max} .

275

276 **Conclusions**

277 This study has shown that the FSS test can be used to estimate the CRF reliably, economically
278 and with accuracy. VO_{2max} is associated with the anthropometric measurements of height,
279 weight, waist, and BMI. A general equation for the population can therefore be used to predict
280 VO_{2max} since the prediction model had an excellent agreement, indicating that the VO_{2max} is
281 dependent on weight, HR post FSS and sex.

282

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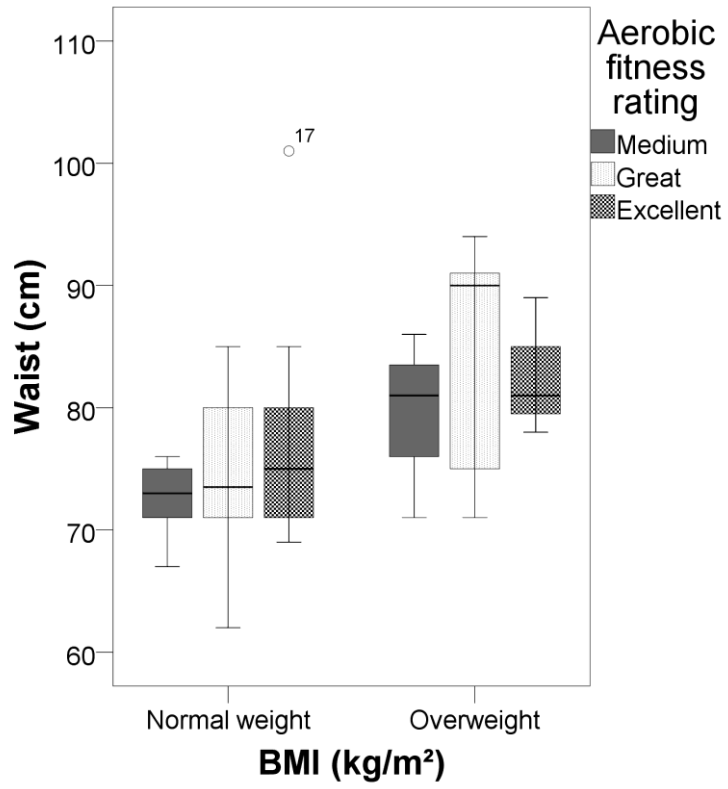
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Figure 1. BMI/waist classification according to Aerobic Fitness Rating (FST).

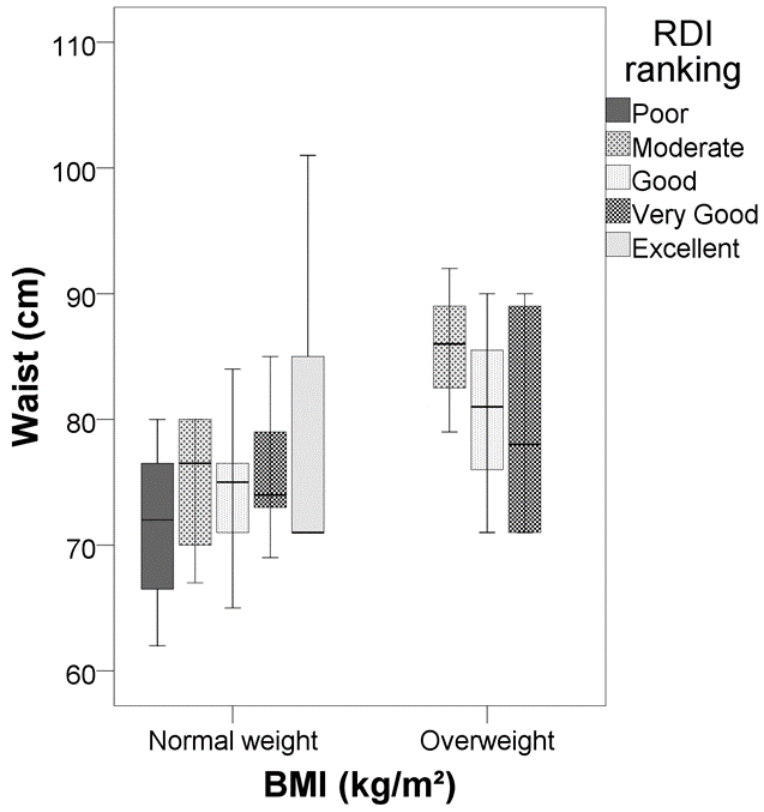


Figure 2. BMI/waist classification according to RDI Ranking.

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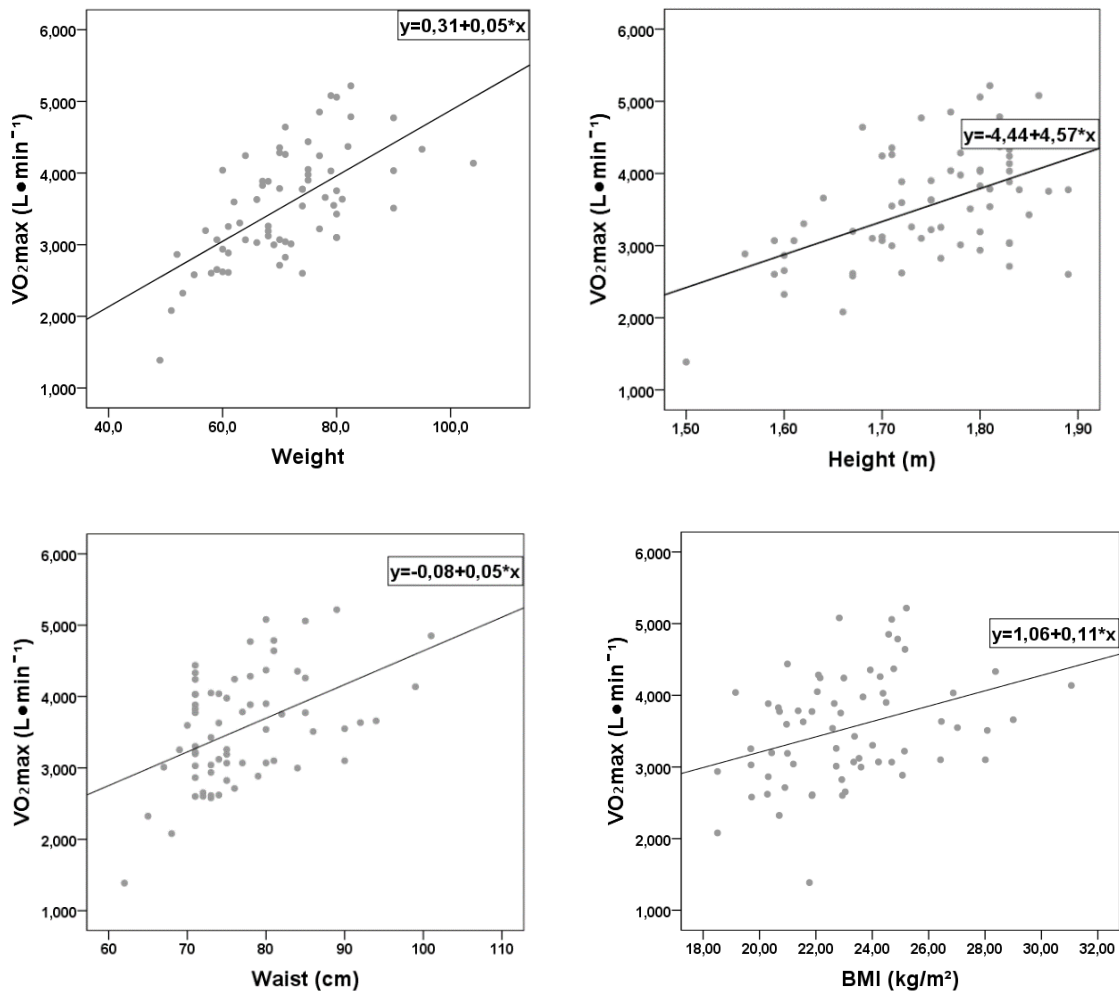


Figure 3. Regression line and equation between measured VO₂max and height, weight, waist, BMI from FSS.

507 Table 1. Descriptive characteristics of study participants (N = 67)

Variable	Mean \pm SD or %	Min	Max	\pm SE	ρ_1	ρ_2
Sex					-0.565**	-0.685**
Age (y)	22.8 \pm 3.42	19	39	0.41	0.215	-0.208
Weight (kg)	71.1 \pm 10.73	49	104	1.31	0.626**	0.391**
Height (m)	1.74 \pm 0.08	1.50	1.89	0.01	0.450**	0.390**
BMI (kg/m ²)	23.2 \pm 2.71	18.51	31.06	0.33	0.399**	0.113
Weight Status						
Normal weight (18.5 \leq BMI < 25) N=53	79.1%					
Overweight (25 \leq BMI < 30) N=13	19.4%					
Obese (BMI \geq 30) N=1	1.5%					
Waist (cm)	77.0 \pm 7.71	62	101	0.94	0.406**	0.207
Hip (cm)	89.4 \pm 7.26	78	110	0.88	0.123	-0.259*
WHR (cm)	0.86 \pm 0.07	0.68	1.09	0.009	0.338**	0.414**
HR FSS (beats / 15 seconds)	30.13 \pm 4.71	21	40	0.57	-0.551**	
VO _{2max} (ml·min ⁻¹ ·kg ⁻¹) FSS	50.21 \pm 8.11	35.15	67.32	0.99		
VO _{2max} (L·min ⁻¹) FSS	3.55 \pm 0.77	1.38	5.21	0.09		
Aerobic fitness rating						
Medium (N =10)	14.9%					
Great (N=29)	43.3%					
Excellent (N=28)	41.8%					
P1 HR (beats / min ⁻¹)	76.03 \pm 16.73	48	124	2.04		-0.155
P2 HR (beats / min ⁻¹)	110.70 \pm 18.52	72	168	2.26		0.062
P3 HR (beats / min ⁻¹)	80.85 \pm 19.04	48	144	2.32		-0.319**
Ruffier Index (RI)	6.79 \pm 4.96	0	18.8	0.6		-0.124
VO _{2max} (L·min ⁻¹) RDI	4.25 \pm 0.48	3.05	5.09	0.05		
RDI ranking						
Poor (N=6)	9%					
Moderate (N=7)	10.4%					
Good (N=26)	38.8%					
Very Good (N=21)	31.3%					
Excellent (N=7)	10.4%					

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509 (FSS = Forest Service Step; WHR = Waist-Hip Ratio; BMI = Body mass index; SE = standard error of
510 mean; SD = Standard deviation; HR = Heart Rate; RDI = Ruffier-Dickson Index; HR = Heart Rate; ρ_1
511 = Spearman's correlation coefficient of VO_{2max} (L·min⁻¹) FSS; ρ_2 = Spearman's correlation coefficient
512 of VO_{2max} (L·min⁻¹) RDI; ** p <0.01; * p <0.05)

Table 2. Multiple linear regression model for predictive absolute VO2max.

	B	SE	t	P	R	Adj. R²	R² change	F change	ICC
Model 1	0.309	0.495	0.624	0.535	0.635	0.394	0.403	43.928	0.727
<i>Intercept</i>	0.046	0.007	6.628	0.000					
<i>Weight</i>	2.980	0.349	8.540	0.000					
Model 2	0.054	0.004	13.923	0.000	0.907	0.816	0.419	150.326	0.938
<i>Intercept</i>	-0.107	0.009	-12.261	0.000					
<i>Weight</i>	3.937	0.417	9.430	0.000					
<i>HR Post FSS</i>	0.045	0.004	10.563	0.000					
Model 3	-0.103	0.008	-12.644	0.000	0.923	0.845	0.030	12.805	0.958
<i>Intercept</i>	-0.406	0.113	-3.578	0.001					
<i>Weight</i>	0.309	0.495	0.624	0.535					
<i>HR Post FSS</i>	0.046	0.007	6.628	0.000					
<i>Sex</i>	2.980	0.349	8.540	0.000					

(SE = Standard Error; HR Post FSS = Heart Rate Post Forest Service Step; ICC= Intra-class Correlation Coefficient)