# Improvement of Gain and Elevation Tilt-Angle Using Metamaterial Loading for Millimeter-Wave Applications

Abdolmehdi Dadgarpour, Behnam Zarghooni, Bal S.Virdee, and Tayeb A. Denidni

Abstract— Elevation-plane beam tilting is demonstrated for a printed dipole antenna operating over 57-64 GHz. This is achieved using a 3×4 array of high refractive-index metamaterial (HRIM) unit-cells. The unit-cell comprises a modified H-shaped structure with stub loading to control the refractive-index of the unit-cell over a finite frequency range. Integration of the 3×4 array in the H-plane of a dipole antenna is shown to deflect the main beam by +30 degrees with respect to the end-fire direction over 57-64 GHz. In addition, the proposed technique provides 5 dB gain enhancement.

*Index Terms*—Metamaterials, beam-forming network, beam tilting, beam switching, dipole antennas, millimeter-waves.

# I. INTRODUCTION

COMMUNICATION in the unlicensed 60 GHz band (57–66 GHz) has attracted great attention for short range multi-Gbps data rate applications such as high definition video streaming using the IEEE 802.11ad WiGig standard. One distinguishing feature of the 60 GHz communication is its high propagation loss due to the extremely high carrier frequency and the oxygen absorption peaks at this frequency band. To combat this, directional antenna with high directivity gain can be adopted to obtain sufficient link budget. This can be accomplished using antenna arrays that provide advantages of interference mitigation and multipath suppression [1]. The challenge with directional antennas is beam alignment between the communication pair.

Various techniques have been proposed to date for steering the main beam of antennas including integrated lens antennas at millimeter-wave [2][3]. The drawback of this approach is its bulky framework. In [4] an array of dipole antenna is mounted perpendicular to an EBG ground-plane to realize beam tilting for mobile base-stations. With this technique the beam tilt angle is limited to 25 degrees. The physical size of the antenna at 3.5 GHz is  $2.6\lambda \times 0.63\lambda \times 0.84\lambda$ . Comb-line antenna array in [5] provides a tilt angle of 30 degrees at 76 GHz. This antenna is composed of several rectangular radiating elements directly connected to a straight feeding microstrip line. The radiating elements are inclined 45 degrees from the feeding line. The drawback of this configuration is its large physical size, i.e.  $15.2\lambda \times 2.5\lambda \times 0.03\lambda$ , as it requires two lines of 26-element linear array and its side-lobe is -5 dB. Authors in [6] have demonstrated the beam tilting phenomenon can be realized using high refractive-index metamaterial loading which is integrated within the antenna structure. With this technique the beam tilt angle is limited to 17 degrees in the azimuth-plane at C-band. In addition, over its operating frequency range the

A. Dadgarpour, B. Zarghooni and T.A. Denidni are with INRS-EMT University of Quebec, Montreal, Quebec, CANADA. (Email: abdolmehdi.dadgarpour@emt.inrs.ca, zarghooni@emt.inrs.ca, denidni@emt.inrs.ca). B.S. Virdee is with Center for Communications Technology at London Metropolitan University, UK. gain of the antenna is restricted to 1.1-2.4 dB.

This paper presents results on 1-D antenna beam deflection technique over 57–64 GHz using a novel  $3\times4$  array of high refractive-index metamaterial (HRIM) unit-cells. The antenna is capable of deflecting the main beam by +30 degrees with respect to the end-fire direction, and the deflection is accompanied by peak gain of 12 dBi at 63 GHz.

#### II. MECHANISM OF BEAM-TILTING

It has been shown in [2] that by placing a dielectric hemispherical lens over an antenna results is in beam deflection. The angle of deflection can be controlled by using lenses of different radii. In this paper we have realized beam deflection in the elevation-plane of a planar dipole antenna by loading it with an array of HRIM unit-cells. The metamaterial array is loaded directly onto the dipole antenna in the elevation-plane in the path of the radiation. The array effectively acts like a hemispherical lens that deflects the radiation beam. Moreover the array effectively increases the antenna aperture to enhance its gain performance.

Beam tilt angle can be determined from the geometry of the HRIM loaded dipole antenna, shown in Fig. 1, where there is phase difference between the paths of lengths "a" along the substrate surface which guides a TE surface wave and the free-space path of length  $\sqrt{(L^2 + a^2)}$ . Where a is the distance of dipole antenna to the HRIM region, and L is the length of HRIM region in the z-direction.



Fig. 1. Mechanism of beam-tilting in the H-plane of the dipole antenna.

In order to implement the proposed technique the metamaterial unit-cell structure, shown in Fig. 2, is employed. This is a modified version of the H-shaped meandered line metamaterial structure in [6]. The unit-cell is constructed on a Rogers RT5880 substrate with the thickness (*h*) of 0.508 mm, permittivity ( $\varepsilon_r$ ) of 2.3, and loss-tangent of 0.0009.



Fig. 2. Geometry of the proposed HRIM unit-cell implemented on a dielectric substrate.

The unit-cell's effective permittivity, permeability as well as refractive-index were extracted by modeling the structure on Ansoft HFSS, where the PEC and PMC boundary conditions were applied along the yz and xz-planes, and the two ports were located in x-direction. S-parameters of the unit-cell structure and its characterizing parameters were extracted using the algorithm in [7]. Fig. 3 shows the magnitude of the effective refractive-index of the proposed unit-cell is between 1.46 and 1.67 over 57–64 GHz, which is larger than effective refractive-index of antenna substrate (i.e. 1.28).

### III. BEAM DEFLECTION OF A SINGLE DIPOLE ANTENNA

In this section, the characteristics of a single dipole antenna is investigated when it's loaded with a 3×4 array of HRIM unitcells in the elevation plane (yz), as shown in Fig. 4. The dipole antenna was constructed on Rogers RT5870 substrate with thickness of 0.254 mm and permittivity of 2.3. The index of refraction plotted in Fig. 3 is applicable to an infinite volume of HRIM unit-cells. However, what has been built is only a small slice, or a limited volume, of the array of slabs shown in Fig. 4. Most of the volume of this array of slabs has a lower index of refraction, and it is described by:  $[(\varepsilon_{r_1}t_1 + \varepsilon_{r_2}t_2)/(t_1 + t_2)]^{1/2}$ , where  $\varepsilon_{r_1}$  ( $\varepsilon_{r_2}$ ) is the relative permittivity of the dielectric slabs (of the air region) and  $t_1$  $(t_2)$  is the thickness of the slab region (air region). As constructed, the HRIM metamaterial is really an array of resonant scatterers which lie in a plane (the H-plane) as opposed to occupying the entire volume of the region associated with the slabs.

The radiation patterns of the antenna with and without unitcell loading in the H-plane (yz) of the antenna is shown in Fig. 5. The results show the direction of the main beam in the Hplane tilts by -30 degrees with respected to the end-fire direction. In addition, this deflection is accompanied by 5 dB gain enhancement compared to dipole antenna with no HRIM loading. This indicates the HRIM loading essentially behaves as a meta-lens that effectively increases the aperture size of antenna yielding antenna gain enhancement.







Fig. 4. Configuration of the antenna embedded with a  $3\times4$  array of the proposed HRIM unit-cells on upper surface of antenna substrate.



Fig. 5. Radiation patterns of proposed antenna in the H-plane (*yz*) with proposed unit-cells; and a conventional dipole antenna with no HRIM loading at 60 GHz.

# IV. EXPERIMENTAL RESULTS

Photograph of the fabricated single dipole-antenna with a  $3\times4$  array of metamaterial unit-cells is shown in Fig. 6. The HRIM unit-cells were constructed on a Rogers RT5880 dielectric slab with thickness of 0.508, and the four slabs are integrated in the H-plane of the antenna. A 1.85 mm end-launch Southwest connector was used in the antenna measurements.

The measured reflection-coefficient of the antenna, shown in Fig. 7, is less than -15 dB between 55–65 GHz. The simulated and measured radiation patterns of the dipole antenna with HRIM loading at 58, 60, and 62 GHz are shown in Fig. 8. The measured results confirm the main beam of the antenna tilts by  $30^{\circ}$ .



Fig. 6. Photograph of the single-feed antenna with HRIM loading in elevation plane.



Fig. 7. The measured reflection-coefficient of the single dipole antenna with HRIM unit-cell loading.



Fig. 8. The normalized radiation patterns of the single dipole-antenna with  $3 \times 4$  HRIM in the H-plane (yz) at: (a) 58 GHz, (b) 60 GHz, and (c) 62 GHz.



Fig. 9. The normalized radiation pattern of the single dipole antenna with  $3 \times 4$  array of HRIM unit-cells in the E-plane (*xy*) at 60 GHz.

The radiation pattern in the E-plane at 60 GHz, shown in Fig. 9, remains virtually unaffected and is directed towards the end-fire direction. The measured gain of the antenna at a beam tilt angle of +30 degrees, shown in Fig. 10, is greater than 10 dBi over 57–64 GHz. The gain peaks to 12 dBi at 63 GHz.



Fig. 10. The measured and simulated antenna gain.

# VIII. CONCLUSION

High refractive-index metamaterial (HRIM) unit-cells are shown to tilt the direction of a dipole antenna's main beam by a prescribed angle. This was accomplished by using an array of HRIM that were directly embedded in the elevation-plane of the planar antenna. Measured results confirm the direction of the antenna's main beam can be deflected by +30 degrees in the H-plane (elevation-plane) with a  $3 \times 4$  array of HRIM. With the proposed technique the antenna exhibits a peak gain of 12 dBi at 63 GHz when the beam was tilted by +30 degrees. This constitutes gain enhancement of 5 dBi compared to the unloaded state.

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