

1 **Screening for overweight using mid-upper arm circumference (MUAC) among**
2 **children younger than two years in the Eastern Cape, South Africa.**

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11

12 **Abstract**

13 **Background:** The relationship between overweight and under nutrition, particularly in
14 resource-poor settings, poses practical challenges for targeting nutrition interventions.
15 Current anthropometric indicators including weight for height (WHZ) recommended by the
16 WHO may be challenging in community settings.

17 **Objectives:** The aim of this study was to assess whether MUAC can accurately identify
18 children younger than two years with overweight and obesity.

19 **Method:** A descriptive, cross-sectional study was used to collect data from a non-
20 probability sample of 397 young South African children from October 2015 to February
21 2016. MUAC cut-off values were tested using a receivers' operating characteristic and
22 area under the curve (AUC).

23 **Results:** The prevalence of overweight (WHZ>+2) and obesity (WHZ>+3) was 11% (n=44)
24 and 5% (21) respectively. A MUAC cut off value for identifying male children six to 24
25 months old with overweight was determined at 16.5 cm (85% sensitivity, 71.4% specificity,
26 AUC=0.821) and female children at 16.5 cm (100% sensitivity, 76.6% specificity,
27 AUC=0.938).

28

29 **Conclusions:** MUAC may be an appropriate tool for identifying children younger than two
30 years old with overweight and obesity. The predicted MUAC cut-off values were able to
31 identify infants and young children with overweight accurately.

33 **Second Abstract**

34 The emerging double burden of disease poses practical challenges for targeting nutrition
35 interventions, particularly in resource-poor settings. Current anthropometric indicators
36 including weight for height (WHZ) recommended by the WHO may be challenging in
37 community settings. MUAC may be an appropriate tool for identifying children younger
38 than two years old with overweight and obesity. The aim of this study was to assess
39 whether MUAC can accurately identify children younger than two years with overweight
40 and obesity.

41

42 **Introduction**

43 According to the WHO¹, 42 million children under the age of five years are overweight or
44 obese. The prevalence of stunting and wasting is reducing in low and middle income
45 countries (LMIC), while overweight and obesity is becoming more prevalent among
46 children². Accelerated weight gain in early life may be related to non-communicable
47 disease risk³. Overweight at one year old may greatly increase the risk of type 2 diabetes
48 and premature death from cardiovascular disease⁴. High rates of glucose intolerance and
49 pre-hypertension have been observed among rural South African adolescents⁵, indicative
50 of the epidemiological transition taking place.

51 Major changes to the diet as a result of the nutrition transition include increased
52 consumption of refined carbohydrates, added sweeteners, edible oils and animal source
53 foods. These dietary patterns can result in higher rates in overweight and obesity in both
54 children and adults. In Southern African countries, it has been estimated that 72% of
55 people are not meeting the recommendations for vegetable and fruit consumption⁶.
56 Furthermore, while the rate of initiation of breastfeeding is high in SA, the exclusive
57 breastfeeding rate declines rapidly⁷. Early introduction of foods and liquids other than
58 breastmilk before the age of six months is common⁷ and may be associated with
59 overweight and obesity later in life⁸. High-sugar fruit juices are being introduced to infants
60 from six months of age⁹. A large proportion of South African infants are consuming foods
61 such as processed meats and crisps on a daily basis by the time they are twelve months
62 old. These less healthy foods are rapidly becoming more affordable, accessible and
63 acceptable to all populations in South Africa, including rural and informal settlements¹⁰.

64 The effects of these shifts in dietary patterns are already being observed, with as many as
65 10% of infants overweight at six months of age¹¹.

66 The emerging double burden of disease poses significant practical challenges for targeting
67 nutrition interventions, particularly in resource-poor settings. Current anthropometric
68 indicators to identify overweight in children as recommended by the WHO and the World
69 Obesity Federation (WOF)¹², include weight for length (WLZ) or weight for height (WHZ)
70 and BMI for age. Evidence suggests that WHZ and BMI for age yield similar prevalence of
71 overweight and obesity and therefore there is no need to monitor both indicators¹³.
72 However, using the WHZ or BMI for age cut-off values, may be challenging at community
73 and household level due to practical limitations such as carrying bulky equipment by
74 community health workers who may not always have access to transport. Furthermore, in
75 resource-poor settings, a MUAC tape may offer several advantages such as being non-
76 invasive, cheaper and faster to use when compared with the scales, stadiometers and
77 length boards required for determining WHZ. Community health workers and parents or
78 guardians can also easily be trained to use and interpret MUAC measurements as a
79 screening tool and it may even aid in the reduction of errors occurring in anthropometric
80 measurements of children¹⁴.

81 Research has begun to establish that MUAC can be effective in identifying overweight and
82 obese children¹⁵. The rate of increase in arm circumference has also been reported
83 parallel to the rate of weight gain in children¹⁴. There is currently no formal
84 recommendation for a single cut-off value for MUAC to identify overweight and obese
85 infants and children in the same way that cut-off values are available for identifying acute
86 malnutrition. The WHO MUAC field tables available can be cumbersome to use; rely on
87 the age of the child and undermine the simplicity of MUAC as a screening tool. There is
88 also a lack of data relating to children younger than two years as many of the studies
89 available, which assess the ability of MUAC to identify obese children, focus on children
90 older than two years^{15,16}. Therefore, the aim of this study was to predict MUAC cut-off
91 values to identify overweight and obese infants and children younger than two years old
92 within a specific population.

93 **Methods**

94 This descriptive study was undertaken using a cross sectional design. Ethical approval
95 was obtained from the Research Ethics Committee (Human), Nelson Mandela University,
96 as well as the Eastern Cape Department of Health (ref. no H15-HEA-002). Inclusion in the

97 study required written informed consent from the primary caregiver of the participant. Data
98 on weight, length and MUAC was collected from infants and young children living in a
99 South African resource-poor peri-urban settlement, aged from birth to two years (n=408)
100 between October 2015 and February 2016. Date of birth and date of measurement were
101 recorded and participant age calculated as an age in decimals. Procedures for obtaining
102 anthropometric data followed protocols described by the Centres for Disease Control and
103 Prevention¹⁷. Weight was measured in kilograms (kg) with a Nagata BW 2010 infant scale
104 (capacity 20 kg x 10 g) and recorded to the nearest 0.01 kg. Length was measured in
105 centimetres (cm) using a Seca infantometer to the nearest 0.1 cm. Non-stretch MUAC
106 tapes were used to measure arm circumference in cm to the nearest 0.1cm. Scales were
107 calibrated before measurements were taken, and measurements were taken at eye level
108 to avoid parallax errors. Measurements were taken by trained fieldworkers. Fieldworkers
109 were registered dietitians and dietetic students who received training before commencing
110 data collection and throughout the data collection period. Fieldworkers collected data
111 under direct supervision of the principle investigator. Anthropometric data were used to
112 calculate Z-scores for weight for age (WAZ), height for age (HAZ), weight for length (WLZ)
113 using WHO Anthro software (WHO, Switzerland). Data cleaning criteria were applied
114 according to WHO guidelines¹⁸.

115 Descriptive statistics were used to describe the outcomes. As absolute MUAC was
116 expected to increase from younger to older age groups, tests for significance in MUAC
117 between age groups was performed using a Scheffe test. A p-value of <0.05 was
118 considered significant. A receiver's operating characteristic (ROC) curve was generated
119 using SPSS software (v25) and used to calculate the area under the curve (AUC) to
120 assess the performance of MUAC as a diagnostic test when using WLZ as the criterion for
121 overweight and obesity. WLZ was used as the standard criterion as it is the recommended
122 indicator of overweight and obesity¹⁹. An AUC value of >0.8 was considered an accurate
123 test²⁰. The Youden index (J) is the difference between the true positive rate (sensitivity)
124 and the false positive rate with: 1 indicating a perfect test, and 0 a useless test. It signifies
125 the optimal sensitivity and specificity values yielding the maximum sums from the ROC
126 curves. The J-value was used to inform the optimal MUAC cut-off values.

127 **Results**

128 Eleven records were removed from the sample as they had implausible Z-scores. The final
129 sample (n=397) was homogenously (100%) of African ethnicity. The sample was made up
130 of 50% (n=197) male participants, and 50% (n=200) female participants. The mean

131 participant age was 9.78 months (SD=6.13). There was no significant difference between
 132 the ages of male and female participants (p=0.53).

133 The mean WLZ was 0.83 (SD=1,28). The prevalence of overweight (+2<WLZ<+3) and
 134 obesity (WLZ>+3) was 11.8% (n=47) and 5% (n=21) respectively. There were no
 135 significant differences observed between genders for WLZ (p=0.367), as shown in Table 1.

136 *Table 1: Anthropometric indicators in male and female participants (n=397). Values=Mean*
 137 *(SD)*

Anthropometric indicator	Sex	n	Mean (SD)	p-value	Cohen's D
Weight (kg)	Male	197	9.19 (2.59)	0.018*	0.24
	Female	200	8.58 (2.49)		
Height (cm)	Male	197	70.83 (8.86)	0.049*	0.20
	Female	200	69.04 (9.12)		
WLZ	Male	197	0.77 (1.40)	0.367	0.09
	Female	200	0.88 (1.15)		
MUAC (cm)	Male	197	15.59 (1.74)	0.017*	0.24
	Female	200	15.17 (1.75)		

138 *Denotes statistically significant at p<0.05

MUAC (cm)	Sensitivity	Specificity	Youden Index	MUAC (cm)	Sensitivity	Specificity	Youden Index
16.3	1.000	0.717	0.717	16.8	0.900	0.833	0.733
16.4	1.000	0.742	0.742	16.9	0.900	0.850	0.750
16.5	1.000	0.767	0.767	17.0	0.900	0.858	0.758
16.6	0.900	0.792	0.692	17.1	0.800	0.867	0.667
16.7	0.900	0.833	0.733	17.2	0.800	0.883	0.683

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163

164 **Discussion**

165 The proposed MUAC cut-off values of 16.5 cm for overweight (+2<WLZ<+3) males and
 166 females, and 17.2 cm for identifying obesity in males and 17.0 cm for females correctly
 167 classified an acceptably high number of children. The simplicity of MUAC measurements
 168 could assist with identifying infants and young children who are clinically overweight or
 169 obese early on in community and household settings. MUAC is simple to use. It also could
 170 potentially allow for screening for over and under nutrition with a single tool reflecting
 171 different cut-off values.

172 The results of this study demonstrate that MUAC may be an appropriate tool for identifying
 173 children younger than two years old as overweight and obese. The area under the curve
 174 for all groups tested was found to have a very good diagnostic value. Research so far has
 175 not addressed the need for a suitable MUAC cut-off value for identifying overweight among
 176 children younger than two years. Investigations into the use of MUAC as a screening tool
 177 for overweight and obesity have largely focused on children of school-going age. Chaput
 178 *et al.*²¹ demonstrated a high level of sensitivity and specificity for identifying overweight
 179 and obesity among nine to eleven year-olds using novel MUAC cut-offs. Craig *et al.*²²
 180 conducted a study that showed similar results using MUAC in South Africa, but again this
 181 research focused on children and adolescents older than five years. The areas under the
 182 curve for the current study for females and males were both greater than 0.8, considered
 183 the threshold for an acceptably accurate test²⁰.

184 Some of the challenges in nutrition screening such as accurately estimating a child's age²³
 185 are avoided using MUAC and weight for height indicators. Weight for height still requires
 186 trained fieldworkers and equipment¹⁹, and time available to spend on training fieldworkers
 187 may be limited due to overloading roles with tasks and large geographic coverage diluting
 188 trained fieldworkers²³. Additionally, health workers are capable of measuring and
 189 interpreting weight but can be uncomfortable with weight and length measurements in

190 combination, therefore weight for height interpretations may not be performed routinely in
191 the field²⁴. According to the results of this study, MUAC is capable of accurately identifying
192 overweight and obese infants and young children. The simplicity of MUAC may also be
193 advantageous in the emerging problem of childhood overweight and obesity as it should
194 help to minimise resource allocation to growth charts, anthropometric equipment, training
195 materials and workshops¹⁸ in community settings.

196 Kim, Lee and Sungwon⁶ report that overweight and obesity between ages two and six
197 years is significantly associated with developing adult metabolic syndrome, while the
198 association between overweight and obesity before the second birthday and development
199 of metabolic syndrome in adulthood exists but is not significant. This may suggest that a
200 window for intervention exists before the second birthday that might have a significant
201 impact on future chronic disease risk. Given that the first 1000 days is such a crucial time
202 for development, identifying overweight and obesity in this age group could potentially
203 prevent future problems associated with the global double-burden of disease. Hawkes *et*
204 *al.*,²⁵ have recently suggested that as all forms of malnutrition have common drivers,
205 health workers should aim to simultaneously prevent under and overnutrition, under the
206 term “double-duty actions”. Potential double-duty actions include redesigning the existing
207 growth monitoring programmes including weight for height in primary care settings and
208 interpreting overweight where feasible²⁵. A MUAC cut-off value for overweight and obesity
209 could aid in expanding this action to community and household screening for referral.

210 This study is limited as the average age of the participants was 9.78 months, creating a
211 bias toward younger children. The study was conducted in primary care facilities and
212 crèches, therefore excluding children who do not attend these facilities. A further limitation
213 of the study was the cross-sectional approach. A longitudinal study would have yielded
214 more information in relation to the dynamic growth of children, however longitudinal data
215 can be impractical to collect. This study must be repeated with a larger sample size in
216 urban, peri-urban and rural South African communities in order to validate the use of the
217 tool.

218 Conclusion

219 MUAC has the potential to identify children with overweight and obesity in South African
220 communities, where community health workers lack adequate access to growth monitoring
221 equipment. Referrals to health services made during the crucial stage of childhood
222 development before the second birthday can potentially reduce the later risks of
223 overweight and associated chronic diseases of lifestyle in adolescence and adulthood.

224 To our knowledge, this is the first work to address a MUAC cut-off value for identifying
225 overweight and obesity among children younger than two years. However, further
226 research is needed, using larger samples from different South African contexts to provide
227 better insight into its standardized use.

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